

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application: **Peloquin et al.**

Serial No.: **09/734,812**

Filed: **December 11, 2000**

For: **Method and an Apparatus for  
Logical Volume Manager Plug-Ins**

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Group Art Unit: **2126**

Examiner: **Truong, Lechi**

Attorney Docket No.: **AUS9-2000-0529-US1**

**Certificate of Mailing Under 37 C.F.R. § 1.8(a)**

I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 14, 2004.

By: Carrie Parker  
Carrie Parker

**DECLARATION UNDER RULE 1.132**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Mark A. Peloquin, an applicant in the above-identified patent application,  
declares as follows:

1. I am an employee of International Business Machines, Inc. (hereafter referred to as IBM), assignee of the above-identified patent application.
2. I am an inventor named in the above-identified application.

3. Attached is a copy of a publication (Exhibit A) entitled "A Fully Integrated Logical Volume Management System (LVMS)", authored by Ben Rafanello, IBM, May 2000.

4. I have reviewed the attached publication (Exhibit A).

5. The subject matter of the attached publication (Exhibit A) was derived from the knowledge of the inventors named in the above-identified application.

6. The subject matter of the attached publication (Exhibit A) was derived from the work in the making of the invention for the above-identified patent application.

The declarant further states that the above statements were made with knowledge that willful false statements and the like are punishable by fine and/or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that any such willful false statement may jeopardize the validity of this application or any patent resulting therefrom.

DATE: 10/1/04

Mark A. Peloquin  
Mark A. Peloquin  
Applicant



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By:

**Carrie Parker**

Carrie Parker

**DECLARATION UNDER RULE 1.132**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Benedict Michael Rafanello, an applicant in the above-identified patent application, declares as follows:

1. I am an employee of International Business Machines, Inc. (hereafter referred to as IBM), assignee of the above-identified patent application.
2. Although my full name is Benedict Michael Rafanello, I am also known to others as Ben Rafanello.
3. I am an inventor named in the above-identified application.

4. Attached is a copy of a publication (Exhibit A) entitled "A Fully Integrated Logical Volume Management System (LVMS)", authored by Ben Rafanello, IBM, May 2000.

5. I am the author of the attached publication (Exhibit A).

6. The subject matter of the attached publication (Exhibit A) was derived from the knowledge of the inventors named in the above-identified application.

7. The subject matter of the attached publication (Exhibit A) was derived from the work in the making of the invention for the above-identified patent application.

The declarant further states that the above statements were made with knowledge that willful false statements and the like are punishable by fine and/or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that any such willful false statement may jeopardize the validity of this application or any patent resulting therefrom.

DATE: 10/8/2004

A handwritten signature in black ink, appearing to read "Benedict Michael Rafanello", written over a horizontal line.

Benedict Michael Rafanello  
Applicant



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By: \_\_\_\_\_

Carrie Parker

**DECLARATION UNDER RULE 1.132**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Cuong Huu Tran, an applicant in the above-identified patent application, declares as follows:

1. I am an employee of International Business Machines, Inc. (hereafter referred to as IBM), assignee of the above-identified patent application.
2. Although my full name is Cuong Huu Tran, I am also known to others as Mike H. Tran.
3. I am an inventor named in the above-identified application.

4. Attached is a copy of a publication (Exhibit A) entitled "A Fully Integrated Logical Volume Management System (LVMS)", authored by Ben Rafanello, IBM, May 2000.

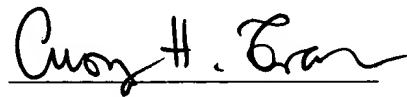
5. I have reviewed the attached publication (Exhibit A).

6. The subject matter of the attached publication (Exhibit A) was derived from the knowledge of the inventors named in the above-identified application.

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Cuong Huu Tran  
Applicant



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Carrie Parker

**DECLARATION UNDER RULE 1.132**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Cristi Nesbitt Ullmann, an applicant in the above-identified patent application, declares as follows:

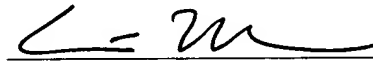
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6. The subject matter of the attached publication (Exhibit A) was derived from the work in the making of the invention for the above-identified patent application.

The declarant further states that the above statements were made with knowledge that willful false statements and the like are punishable by fine and/or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that any such willful false statement may jeopardize the validity of this application or any patent resulting therefrom.

DATE: Oct 12, 09



Cristi Nesbitt Ullmann  
Applicant